# STATE OF NEW HAMPSHIRE

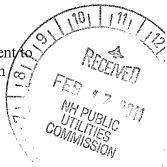
**Inter-Department Communication** 

**DATE:** February 17, 2011 **AT (OFFICE):** NHPUC

7 EM

**FROM:** Al-Azad Iqbal Utility Analyst III

- SUBJECT: DM11-004 Notice of Innovative Energy Advisors LLC's Intent/to Provide Electric Aggregation Service; Staff Recommendation/co
  - TO: Debra Howland Executive Director



On January 13, 2011 Innovative Energy Advisors LLC (Innovative) notified the Commission of its intent to provide electric aggregation service. Pursuant to Puc 2006.02(a)(5), Innovative did not provide a copy of the applicant's authorization to do business in New Hampshire from the secretary of state with its original application. On February 16, 2011 Innovative provided its application to the secretary of state (attached). The document shows that it was filed on December 23, 2010 and a Business Id was assigned. Staff verified the information that Innovative is authorized to do business in New Hampshire.

The application by Innovative to provide electric aggregation service has been reviewed and the Company has complied with the requirements of Puc 2006.02. Staff recommends that Innovative Energy Advisors LLC be registered to provide electric aggregation service in New Hampshire.

Should you have any questions, please do not hesitate to contact me.

# State of New Hampshire

Filed Date Filed: 12/23/2010 Business ID: 640641 William M. Gardner Secretary of State

 Filing fee:
 \$ 50.00

 Fee for Form SRA:
 \$ 50.00

 Total fees:
 \$100.00

 Use black print or type.

 Form must be single-sided, on 8 ½ x11" paper:

 double sided copies will not be accepted.

Form FLLC-1 RSA 304-C:12

#### APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS OF THE NEW HAMPSHIRE LIMITED LIABILITY COMPANY LAWS, THE UNDERSIGNED HEREBY APPLIES FOR REGISTRATION TO TRANSACT BUSINESS IN NEW HAMPSHIRE AND FOR THAT PURPOSE SUBMITS THE FOLLOWING STATEMENT:

FIRST: The name of the limited liability company is Innovative Energy Advisors LLC

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THIRD: It is formed under the laws of New Jersey

FOURTH: The date of its formation is February 7, 2002

SIXTH: The name of its registered agent in New Hampshire is National Registered Agents, Inc.

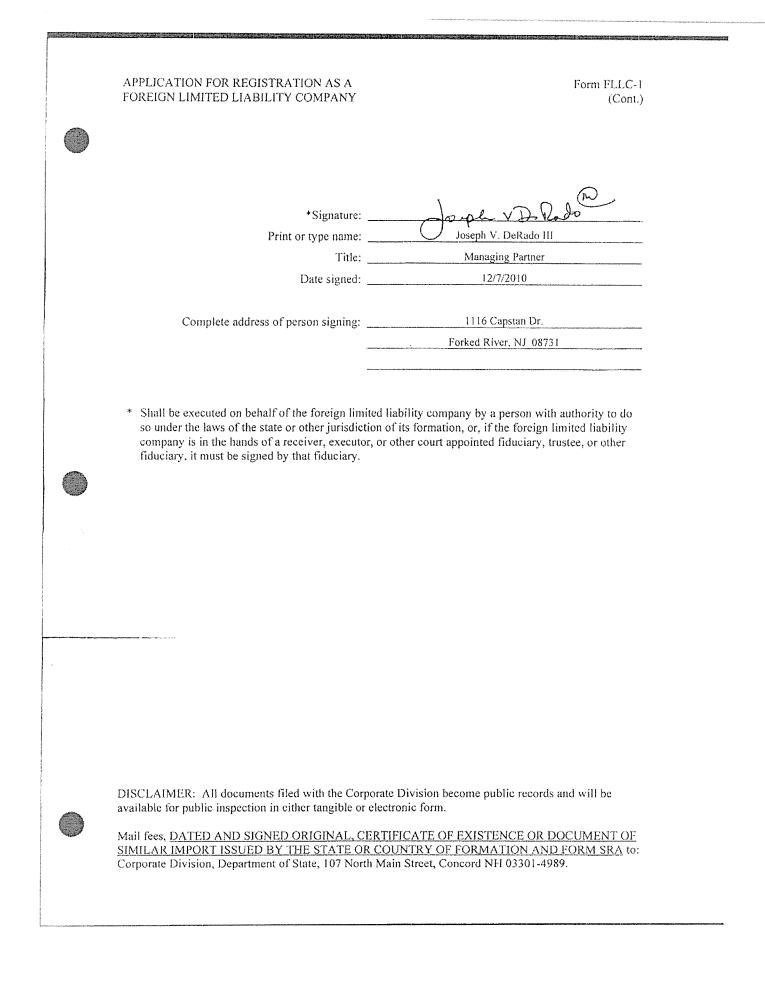
and the street address, town/city (including zip code and post office box, if any) of its registered office is (agent's business address in New Hampshire) 63 Pleasant St., Concord, NH 03301

SEVENTH: The sale or offer for sale of any ownership interests in this business will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-B).

State of New Hampshire Form FLLC 1 - Application for Foreign Registration FLLC 4 Page(s)







### STATE OF NEW JERSEY DEPARTMENT OF THE TREASURY SHORT FORM STANDING

#### INNOVATIVE ENERGY ADVISORS LLC

0600133171

*I, the Treasurer of the State of New Jersey, do hereby certify that the above-named New Jersey Domestic Limited Liability Company was registered by this office on February 7, 2002.* 

As of the date of this certificate, said business continues as an active business in good standing in the State of New Jersey, and its Annual Reports are current.

I further certify that the registered agent and registered office are: •

Joseph Derado 1116 Capstan Drive Forked River, NJ 08731



Certification# 118898636

Verify this certificate at https://wwwl.state.nj.us/TYTR\_StandingCert/JSP/Verify\_Cert.jsp

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal at Trenton, this 6th day of December, 2010

Andrew P Sidamon-Eristoff State Treasurer

## Form SRA – Addendum to Business Organization and Registration Forms Statement of Compliance with New Hampshire Securities Laws



Part I - Business Identification and Contact Information

Business Name: Innovative Energy Advisors LLC

Business Address (include city, state, zip): One River Center, 331 Newman Springs Rd., Suite 143, Red Bank NJ 07701

Telephone Number: (609) 693-6006 E-mail: jderado@ie-advisors.com

Contact Person: Joseph V. DeRado III

Contact Person Address (if different):

Part II – Check <u>ONE</u> of the following items in Part II. If more than one item is checked, the form will be rejected. [*PLEASE NOTE:* Most small businesses registering in New Hampshire qualify for the exemption in Part II, Item 1 below. *However*, you must insure that your business meets all of the requirements spelled out in A), B), and C)]:

1. Ownership interests in this business are exempt from the registration requirements of the state of New Hampshire because the business meets <u>ALL</u> of the following three requirements:

- A) This business has 10 or fewer owners; and
- B) Advertising relating to the sale of ownership interests has not been circulated; and
- C) Sales of ownership interests if any will be *completed within 60 days* of the formation of this business.

2. \_\_\_\_ This business will offer securities in New Hampshire under another exemption from registration or will notice file for federal covered securities. Enter the citation for the exemption or notice filing claimed -\_\_\_\_\_.

3. This business has registered or will register its securities for sale in New Hampshire. Enter the date the registration statement was or will be filed with the Bureau of Securities Regulation - \_\_\_\_\_.

4. \_\_\_\_ This business was formed in a state other than New Hampshire and will not offer or sell securities in New Hampshire.

Part III - Check <u>ONE</u> of the following items in Part III:

1. 🗸

This business *is not being* formed in New Hampshire.

 This business is being formed in New Hampshire and the registration document states that any sale or offer for sale of ownership interests in the business will comply with the requirements of the New Hampshire Uniform Securities Act.

#### Part IV - Certification of Accuracy

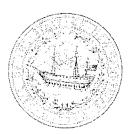
(NOTE: The information in Part IV must be certified by: 1) <u>all</u> of the incorporators of a corporation to be formed; or 2) <u>an</u> executive officer of an existing corporation; or 3) <u>all</u> of the general partners or intended general partners of a limited partnership; or 4) <u>one or more</u> authorized members or managers of a limited liability company; or 5) <u>one or more</u> authorized partnership or foreign registered limited liability partnership.)

1 (We) certify that the information provided in this form is true and complete. (Original signatures *only*)

Name (print):	Joseph V. DeRado III	Signature: dans VI) VILede
		Date signed: December 7, 2010
Name (print):	*******	Signature:
		Date signed:
Name (print):		Signature:
		Date signed:

(IN)

Innovative Energy Advisors LLC One River Center 331 Newman Springs Rd. Suite 143 Red Bank, NJ 07701



State of New Hampshire

Department of State Corporation Division 107 North Main Street Concord, N.H. 03301-4989 603-271-3246



Enclosed is the acknowledgment copy of your Application for Registration as a Foreign Limited Liability Company. It acknowledges this office's receipt and filing of your documents.

Should you have any questions, you may contact the Corporation Division at the above number or email us at *corporate@sos.state.nh.us*. Please reference your Business ID # located in the filed section of the enclosed acknowledgement copy of Application for Registration as a Foreign Limited Liability Company.

Please visit our website for helpful information regarding all your business needs.

Regards,

New Hampshire Department of State Corporation Division

Business ID#: 640641